



164

3743

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alexander M. Rubenchik et al.      Docket No.: P1-19  
Serial No. : 09/834,554      Art Unit: 3743  
Filed : April 12, 2001      Examiner: Camtu Tran Nguyen  
For : Ultrasound Plaque Emulsion Device  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the *attached* correspondence comprising:

1. Certificate of Mailing;
2. Cover Letter for Second Re-Submission of Petition Under 37 CFR 1.181(a);
3. Confirmation of Fax Receipt by USPTO;
4. Return Postcard;
5. Copy of Documents filed April 13, 2005:  
Certificate of Facsimile;  
Cover Letter for Resubmission of Petition Under 37 CFR 1.181(a);  
Copy of Returned Postcard stamped by OIPE of USPTO;;  
Petition Under 37 CFR 1.181(a);
6. Copy of Documents file in USPTO on December 8, 2004:  
Certificate of Mailing; Petition Under 37 CFR 1.181(a); Copy of Return Postcard;
7. Copy of Documents Filed in USPTO on July 20, 2004:  
Fax Cover Sheet, Notice of Allowance and Fee(s) Due; Part B - Fee(s)  
Transmittal **with Certificate of Mailing** (in duplicate); Application for Change in Correspondence Address; Copy of Auto-Reply Facsimile Transmission;

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Petition  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450


on September 15, 2005

John P. Wooldridge  
(Type or print name of person mailing paper)

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(Signature of person mailing paper)



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Alexandria, VA 22313-1450

COVER LETTER FOR SECOND RE-SUBMISSION OF PETITION UNDER 37  
CFR 1.181(a)

On December 8, 2004 (and again on April 13, 2005) the applicants timely petitioned the Commissioner to withdraw the holding of abandonment mailed October 8, 2004. Although the applicants received the attached return postcard for the December 8, 2004 petition (and a fax confirmation for the April 13, 2005 resubmission) indicating receipt of the petition in the USPTO, the PAIR system does not show that any of this paperwork was received.


The PAIR system shows the correct address for the undersigned, but the telephone number is incorrect. The correct telephone number is 808-875-0012. The previously submitted documents are attached, including the Application to Change the Correspondence Address.

The applicants therefore respectfully request that (i) the attached documents be entered, (ii) the holding of abandonment be withdrawn, (iii) the attached issue fee payment for small entity and publication fee be entered and (iv) the patent proceed to issuance in due course.

Respectfully submitted,

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Dated: September 15, 2005

  
John P. Wooldridge  
Attorney for Applicant  
Registration No. 38,725



**John Wooldridge**

---

**From:** <send@mail.efax.com>  
**To:** <john.wooldridge@comcast.net>  
**Sent:** Wednesday, April 13, 2005 10:07 AM  
**Subject:** Successful transmission to 17037466840. Re: UNKNOWN

Dear John Wooldridge,

Re: UNKNOWN

The 12 page fax you sent through eFax.com to 17037466840 was successfully transmitted at 2005-04-13 19:47:41 (GMT).

The length of transmission was 440 seconds.  
The receiving machine's fax ID: USPTO.

To see your account activity, please visit: <http://www.efax.com/login/activity>.

If you need additional assistance, please visit our online help center at <http://www.efax.com/help/>. Thank you for using the eFax service.

Best Regards,  
eFax.com

Customer ServiceOnline  
Help: <http://www.efax.com/help/>  
Tel: +1 (323) 817-3205  
Email: [help@mail.efax.com](mailto:help@mail.efax.com)

User Reference : P1-19 Resubmission Docs

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9/15/2005



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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF FAXCIMILE

I hereby certify that the *attached* correspondence comprising:

1. Cover Letter for Resubmission of Petition Under 37 CFR 1.181(a);
2. Copy of Returned Postcard;
3. Petition Under 37 CFR 1.181(a);
4. Copy of Documents Filed in USPTO on 7/20/2004:  
Fax Cover Sheet, Notice of Allowance and Fee(s) Due, Part B - Fee(s)  
Transmittal with Certificate of Mailing or Transmission (in duplicate);  
Application for Change in Correspondence Address;
5. Copy of Auto-Reply Facsimile Transmission;
6. Previously filed Certificate of Mailing; and
7. Certificate of Facsimile

is being sent by facsimile transmission addressed to:

Derek  
Fax number 703-746-6840

on April 13, 2005

John P. Wooldridge

(Type or print name of person faxing paper)

*John P. Wooldridge*

(Signature of person faxing paper)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alexander M. Rubenchik et al. Docket No.: P1-19  
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Filed : April 12, 2001 Examiner: Camtu Tran Nguyen  
For : Ultrasound Plaque Emulsion Device



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1. Petition Under 37 CFR 1.181(a);
2. Copy of Documents Filed in USPTO on 7/20/2004:  
Fax Cover Sheet, Notice of Allowance and Fee(s) Due, Fee(s)  
Transmittal with Certificate of Mailing or Transmission (in duplicate); Applic  
for Change in Correspondence Address;
3. Copy of Auto-Reply Facsimile Transmission;
4. Certificate of Mailing; and
5. Return Postcard;

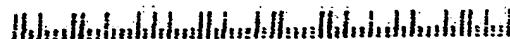
Date Mailed: December 8, 2004

Attorney: JW

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



JOHN P. WOOLDRIDGE  
252 Kaipii Pl  
Kihei, HI 96753



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on December 8, 2004

John P. Wooldridge  
(Type or print name of person mailing paper)

*John P. Wooldridge*



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alexander M. Rubenchik et al.      Docket No.: P1-19  
Serial No. : 09/834,554      Art Unit: 3743  
Filed : April 12, 2001      Examiner: Camtu Tran Nguyen  
For : Ultrasound Plaque Emulsion Device

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION UNDER 37 CFR 1.181(a)

The applicants hereby petition the Commissioner to withdraw the holding of abandonment mailed October 8, 2004. The petition should be granted because the applicants timely filed the attached **Part B -Fee(s) Transmittal** with Certificate of Mailing or Transmission (in duplicate) in response to the Notice of Allowance and Fee(s) Due mailed April 20, 2004, which was received in the USPTO on July 20, 2004 as evidenced by the attached copy of the Auto-Reply Facsimile Transmission.

The applicants therefore respectfully request that the holding of abandonment be withdrawn, and that the attached issue fee payment for small entity and publication fee be entered and the patent issue is due course.

Respectfully submitted,

*John P. Wooldridge*

John P. Wooldridge  
Attorney for Applicant  
Registration No. 38,725

Dated: December 8, 2004

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SEP 26 2005  
TECHNOLOGY CENTER R3700



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Alexander M. Rubenchik et al. Docket No.: P1-19  
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4. Certificate of Mailing; and
5. Return Postcard;

Date Mailed: December 8, 2004

Attorney: JW

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JOHN P. WOOLDRIDGE  
252 Kaipii Pl.  
Kihei, HI 96753

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22113-1450  
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

04/20/2004

EXAMINER

NGUYEN, CAMTU TRAN

ART UNIT

PAPER NUMBER

3743

DATE MAILED: 04/20/2004

John P. Wooldridge  
1334 Ridgestone Court  
Livermore, CA 94550

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/834,554	04/12/2001	Alexander M. Rubenchik	P1-19	4903

TITLE OF INVENTION: ULTRASOUND PLAQUE EMULSION DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	07/20/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☒ Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 11/03) Approved for use through 04/30/2004.

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## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Please Legibly mark-up with any corrections or use Block 1)

7590  
John P. Wooldridge  
1334 Ridgestone Court  
Livermore, CA 94550



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

JOHN P. WOOLDRIDGE (Depositor's name)  
John P. Wooldridge (Signature)  
7-20-04 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/834,554	04/12/2001	Alexander M. Rubenchik	P1-19	4903

TITLE OF INVENTION: ULTRASOUND PLAQUE EMULSION DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	07/20/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
NGUYEN, CAMTU TRAN	3743	601-002000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☒ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. JOHN P. WOOLDRIDGE

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

PEARL TECHNOLOGY HOLDINGS, LLC

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

LIVERMORE CA

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by change the required fee(s), or credit any overpayment, to Deposit Account Number 501330 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

John P. Wooldridge

(Date)

7-20-04

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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PTOL-85 (Rev. 11/03) Approved for use through 04/30/2004.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (703) 746-4000**

**INSTRUCTIONS:** This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

04/20/2004

John P. Wooldridge  
 1334 Ridgestone Court  
 Livermore, CA 94550



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**JOHN P. WOOLDRIDGE** (Depositor's name)  
*John P. Wooldridge* (Signature)  
**7-20-04** (Date)

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NGUYEN, CAMTU TRAN	3743	601-002000

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **JOHN P. WOOLDRIDGE**

2 \_\_\_\_\_

3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

**PEARL TECHNOLOGY HOLDINGS,  
 LLC**

**LIVERMORE CA**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by check the required fee(s), or credit any overpayment, to Deposit Account Number **501330** (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

*John P. Wooldridge* **7-20-04**

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Serial No. : 09/834,554      Art Unit: 3743  
Filed : April 12, 2001      Examiner: Camtu Tran Nguyen  
For : Ultrasound Plaque Emulsion Device

**APPLICATION FOR CHANGE IN CORRESPONDENCE ADDRESS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please change the Correspondence Address for the above-identified  
application to:

John P. Wooldridge  
252 Kaipii Pl.  
Kihei, HI 96753

United States of America

Tele: 808-875-0012  
Fax: 925-215-2542

If you have any questions, please contact the undersigned at 808-875-0012.

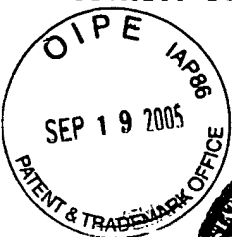
Respectfully submitted,

---

John P. Wooldridge  
Attorney for Applicant  
Registration No. 38,725

Dated: July 20, 2004

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SEP 26 2005  
TECHNOLOGY CENTER R3700



## Auto-Reply Facsimile Transmission

TO: Fax Sender at 1-925-215-2542

Fax Information

Date Received:

Total Pages:

7/20/2004 3:23:03 PM [Eastern Daylight Time]

5 (including cover page)

**ADVISORY:** This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received  
Cover  
Page

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To: Mail Stop Issue Fee Page 1 of 5		2004-07-20 19:20:27 (GMT)		1-925-215-2542 From: John Woolldridge	
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